

IN THE U.S. PATENT AND TRADEMARK OFFICE

Applicant: G. M. KING et al. : Group Art Unit: 2782  
Serial No.: 09/407,594 : Examiner:  
Filed: September 28, 1999 : September 10, 2001  
Title: METHOD, SYSTEM AND : William A. Kinnaman, Jr.  
PROGRAM PRODUCTS FOR MANAGING : International Business Machines Corporation  
LOGICAL PROCESSORS OF A : 2455 South Road, Mail Station P386  
COMPUTING ENVIRONMENT : Poughkeepsie, NY 12601

Received  
JAN 16 2002  
Technology Center 2100

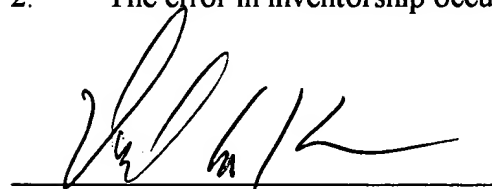
**STATEMENT OF ERROR WITHOUT DECEPTIVE INTENT  
IN SUPPORT OF PETITION UNDER 37 CFR 1.48(a)**

Assistant Commissioner for Patents  
Washington, DC 20231

Dear Sir:

DANIEL A. KABERON states as follows:

1. He is the person sought to be added as an inventor by the accompanying Amendment and Petition Under 37 CFR 1.48(a).
2. The error in inventorship occurred without deceptive intention on his part.



Daniel A. Kaberon



Date of Signature

**Patent**

**IN THE U.S. PATENT AND TRADEMARK OFFICE**

**Received**

**JAN 16 2002**

**Technology Center 2100**

Applicant: G. M. KING et al.	: Group Art Unit: 2782
Serial No.: 09/407,594	: Examiner:
Filed: September 28, 1999	: December 18, 2001
Title: METHOD, SYSTEM AND	: William A. Kinnaman, Jr.
PROGRAM PRODUCTS FOR MANAGING	: International Business Machines Corporation
LOGICAL PROCESSORS OF A	: 2455 South Road, Mail Station P386
COMPUTING ENVIRONMENT	: Poughkeepsie, NY 12601

**CONSENT OF ASSIGNEE AND STATEMENT UNDER 37 CFR 3.73(b)**

Assistant Commissioner for Patents  
Washington, DC 20231

Dear Sir:

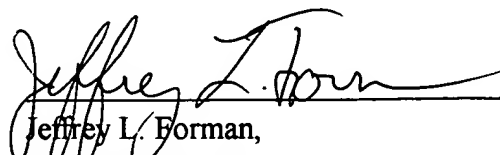
INTERNATIONAL BUSINESS MACHINES CORPORATION, the owner of this application by assignment executed by the inventors on November 12, 1999, November 30, 1999, December 3, 1999, and November 19, 1999, respectively, and recorded on reel 010446 at frame 0489, hereby consents to the correction of inventorship being filed herewith.

Pursuant to 37 CFR 3.73(b), I have reviewed the evidentiary documents referred to above and certify that, to the best of my knowledge and belief, title is in said assignee.

I aver that I am empowered to sign this statement on behalf of the assignee.

Respectfully submitted,  
INTERNATIONAL BUSINESS  
MACHINES CORPORATION

By

  
Jeffrey L. Forman,  
Program Manager,  
Patent Office Liaison

Docket No.  
PO9-99-147

# Declaration and Power of Attorney For Patent Application

## English Language Declaration

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

**METHOD, SYSTEM AND PROGRAM PRODUCTS FOR MANAGING LOGICAL  
PROCESSORS OF A COMPUTING ENVIRONMENT**

the specification of which

(check one)

☐ is attached hereto.

☒ was filed on September 28, 1999 as United States Application No. or PCT International  
Application Number 09/407,594  
and was amended on \_\_\_\_\_

(if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119(a)-(d) or Section 365(b) of any foreign application(s) for patent or inventor's certificate, or Section 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate or PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)

Priority Not Claimed

_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	<input type="checkbox"/>
_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	<input type="checkbox"/>
_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	<input type="checkbox"/>

I hereby claim the benefit under 35 U.S.C. Section 119(e) of any United States provisional

\_\_\_\_\_  
(Application Serial No.)

\_\_\_\_\_  
(Filing Date)

\_\_\_\_\_  
(Application Serial No.)

\_\_\_\_\_  
(Filing Date)

\_\_\_\_\_  
(Application Serial No.)

\_\_\_\_\_  
(Filing Date)

I hereby claim the benefit under 35 U. S. C. Section 120 of any United States application(s), or Section 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. Section 112, I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, C. F. R., Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application:

\_\_\_\_\_  
(Application Serial No.)

\_\_\_\_\_  
(Filing Date)

\_\_\_\_\_  
(Status)  
(patented, pending, abandoned)

\_\_\_\_\_  
(Application Serial No.)

\_\_\_\_\_  
(Filing Date)

\_\_\_\_\_  
(Status)  
(patented, pending, abandoned)

\_\_\_\_\_  
(Application Serial No.)

\_\_\_\_\_  
(Filing Date)

\_\_\_\_\_  
(Status)  
(patented, pending, abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

**POWER OF ATTORNEY:** As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. *(list name and registration number)*

Lynn L. Augspurger, Reg. No. 24,227

Floyd A. Gonzalez, Reg. No. 26,732

Christopher A. Hughes, Reg. No. 26,914

John E. Hoel, Reg. No. 26,279

Stanley B. Green, Reg. No. 24,351

Lawrence D. Cutter, Reg. No. 28,501

William A. Kinnaman, Jr., Reg. No. 27,650

William B. Porter, Reg. No. 33,135

Andrew J. Wojnicki, Jr., Reg. No. 43,995

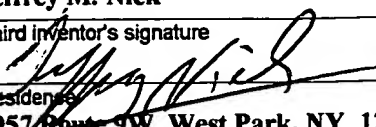
Joseph C. Redmond, Jr., Reg. No. 18,753

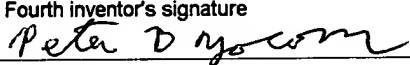
Send Correspondence to: **William Kinnaman, Jr., Attorney**  
**IBM Corporation, Intellectual Property Law Department**  
**2455 South Road, M/S P386**  
**Poughkeepsie, NY 12601**

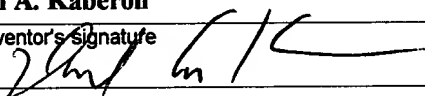
Direct Telephone Calls to: *(name and telephone number)*  
**William Kinnaman, Jr. (845) 433-1175**

Full name of sole or first inventor <b>Gary M. King</b>	
Sole or first inventor's signature <i>Gary M King</i>	Date 10/11/01
Residence <b>Bangall Road, RR1, Box 180, Millbrook, NY 12545</b>	
Citizenship <b>USA</b>	
Post Office Address <b>same as residence</b>	

Full name of second inventor, if any <b>Jeffrey P. Kubala</b>	
Second inventor's signature <i>Jeffrey P Kubala</i>	Date 10/10/01
Residence <b>10 Morgan Lane, Poughquag, NY 12570</b>	
Citizenship <b>USA</b>	
Post Office Address <b>same as residence</b>	

Full name of third inventor, if any <b>Jeffrey M. Nick</b>	
Third inventor's signature 	Date <b>11.27.01</b>
Residence <b>1957 Route 9W, West Park, NY 12493</b>	
Citizenship <b>USA</b>	
Post Office Address <b>same as residence</b>	

Full name of fourth inventor, if any <b>Peter B. Yocom</b>	
Fourth inventor's signature 	Date <b>10/30/01</b>
Residence <b>129 Stringham Road, Unit 29, Lagrangeville, NY 12540</b>	
Citizenship <b>USA</b>	
Post Office Address <b>same as residence</b>	

Full name of fifth inventor, if any <b>Daniel A. Kaberon</b>	
Fifth inventor's signature 	Date <b>10 SEPTEMBER 2001</b>
Residence <b>423 Ashland, Evanston, IL 60202</b>	
Citizenship <b>USA</b>	
Post Office Address <b>Hewitt Associates, 100 Half Day Road, Lincolnshire, IL 60069</b>	

Full name of sixth inventor, if any	
Sixth inventor's signature	Date
Residence	
Citizenship	
Post Office Address	

**CERTIFICATE UNDER 37 CFR 3.73(b)**

Applicant: G. M. King et al.

Application No.: 09/407,594 Filed: September 28, 1999

Entitled: Method, System And Program Products For Managing Logical Processors Of A Computing Environment

International Business Machines Corporation, a corporation of New York  
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

certifies that it is the assignee of the entire right, title and interest in the patent application identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel 010446, Frame 0489, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

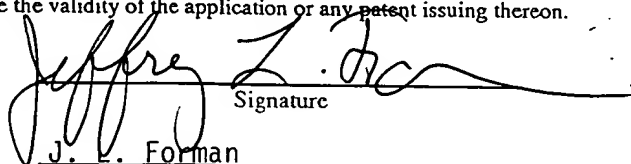
The undersigned has reviewed all the documents in the chain of title of the patent application identified above and, to the best of undersigned's knowledge and belief, title is in the assignee identified above.

The undersigned (whose title is supplied below) is empowered to sign this certificate on behalf of the assignee.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

December 18, 2001

Date

  
Signature

J. L. Forman

Typed or printed name

Program Manager, Patent Office Liaison

Title

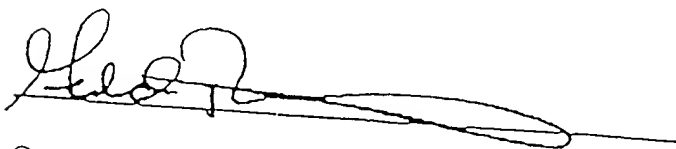
Docket #P09-99-0147

## LETTER OF AUTHORITY

I, Gerald Rosenthal, Vice President, Intellectual Property and Licensing of International Business Machines Corporation (IBM), a New York corporation, do hereby delegate the authority to approve and execute documents on behalf of IBM relating to proceedings in the Patent, Trademark Registration or Copyright Offices servicing any country or region of the world, or to related appeal proceedings, including, but not limited to: petitions; powers of Attorney; authorizations; verification; nominations of representatives; declarations; documents relating to maintenance and defense of the resulting industrial property rights; assignments of rights to apply for and acquire patents and trademark registrations; and evidence of such assignments; request for the registration of patents as available for licensing; reports of inventions and petitions for waiver of patent rights to any department or agency of the United States Government; and, assignments, licenses and other instruments confirmatory of Government rights in patents and inventions, to Jeffrey L. Forman, Program Manager, Patent Office Liaison.

Date:

Aug. 4, 2000



Gerald Rosenthal  
Vice President, Intellectual Property & Licensing  
International Business Machines Corporation